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PATENT  
17243-00039

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony G. Casciano

Serial No.: 09/681,408

Filed: March 30, 2001

For: METHODS AND SYSTEMS FOR  
IMPLEMENTING A PROFITABILITY  
MODEL

Group Art Unit: 2164

Examiner: Unassigned

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Technology Center 2100

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service, First Class Mail, postage prepaid, addressed to: Box Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231, on February 14, 2002.

John S. Beulick, Reg. No. 33,338

PRELIMINARY AMENDMENT

Box Non Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

Prior to the examination of this Application, please amend the Application as follows:

IN THE SPECIFICATION

On page 3, please delete paragraph [0017] and replace with the following paragraph:

[0017] Figure 7A is a first portion of an exemplary deal structure user interface.

a1 Figure 7B is a second portion of the exemplary deal structure user interface. Figure 7C is a third portion of the exemplary deal structure user interface.

On page 3, please delete paragraph [0018] and replace with the following paragraph:

a2 [0018] Figure 8A is a first portion of a user interface showing a fully funded-post syndication report. Figure 8B is a second portion of the user interface showing a fully funded-